



Docket No. 694231/0006
JJD/PI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Thomas Joshua Shafron et al.

Group Art Unit: 2157

Application No.: 09/904,300

Examiner: LaShonda T. Jacobs

Filed: July 12, 2001

For: **METHOD AND SYSTEM FOR ENABLING A SCRIPT ON A FIRST
COMPUTER TO COMMUNICATE AND EXCHANGE DATA WITH A
SCRIPT ON A SECOND COMPUTER OVER A NETWORK**

May 4, 2004
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Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

MAY 11 2004

Technology Center 2100

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Forms PTO/SB/08a and 08b.

The applicants would like to bring to the Examiner's attention co-pending Patent Application Serial No. 09/916,543. Enclosed Patent Application Publication No. US 2003/0023754 A1 is the publication for Patent Application No. 09/916,543. In an abundance of caution, Applicants are also submitting two International Preliminary Examination Reports for PCT Patent Application No. PCT/US02/23639, which is the PCT counterpart to co-pending Patent Application 09/916,543.


05/10/2004 MBERHE 00000079 194709 09904300
01 FC:1806 180.00 DA

It is respectfully requested that the Examiner consider the cited information and that a copy of the enclosed Forms PTO/SB/08a and 08b is returned indicating that such information has been considered.

This Information Disclosure Statement is being cited in accordance with 37 C.F.R. § 1.97(d). Authorization to charge the requisite fee under 37 C.F.R. 1.17(p)(\$180) is granted in the accompanying Fee Transmittal for FY 2004 provided herewith. In the event that any additional fee is required, however, the Commissioner is hereby authorized to charge the amount of such fee to the undersigned's Deposit Account No. 19-4709.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please do not hesitate to contact Applicant's attorney at the number listed below.

Respectfully submitted,

 JAMES J. DeCarlo REG. NO. 46,616
James J. DeCarlo
Registration No. 36,120
Attorney for Applicants
Stroock & Stroock & Lavan LLP
180 Maiden Lane
New York, New York 10038
212-806-5400

MAY 07 2004

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

9

2

Compleat if Known

Application Number	09/904.300
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Filing Date	July 17, 2001
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First Named Inventor	Thomas Joshua Shafron et al.
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Art Unit	2157
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Examiner Name	LaShonda T. Jacobs
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Attorney Docket Number	694231/0006
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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

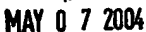
Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Approved for use through 06/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number

09/904,300

Filing Date

July 17, 2001

First Named Inventor

Thomas Joshua Shafron et al.

Art Unit

2157

Examiner Name

LaShonda T. Jacobs

Sheet

2

of

2

Attorney Docket Number

694231/0006

NON PATENT LITERATURE DOCUMENTSExaminer
Initials*Cite
No.¹

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

 T^2

**International Preliminary Examination Report for International Application
No. PCT/US02/23639 dated 21 January 2004**

**International Preliminary Examination Report for International Application
No. PCT/US02/23639 dated 23 October 2003**

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MAY 11 2004

Technology Center 2100

**Examiner
Signature**

Date _____

Considered

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

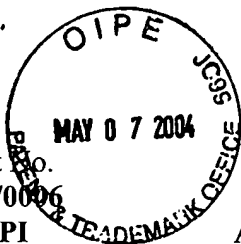
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Docket No.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: **Thomas Joshua Shafron et al.** Group Art Unit: **2157**
Application No.: **09/904,300** Examiner: **LaShonda T. Jacobs**

Filed: **July 12, 2001**

For: **METHOD AND SYSTEM FOR ENABLING A SCRIPT ON A FIRST
COMPUTER TO COMMUNICATE AND EXCHANGE DATA WITH A
SCRIPT ON A SECOND COMPUTER OVER A NETWORK**

Date: **May 4, 2004**

CERTIFICATE OF MAILING
BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

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MAY 11 2004

Technology Center 2100

Sir:

I hereby certify that the following correspondence:

**Amendment (40 pgs); Supplemental Information Disclosure Statement (2 pgs); Fee Transmittal
for FY 2004 (1 pg) in duplicate; Forms PTO/SB/08a and 08b (2 pgs), one reference and two
International Search Reports; and Return Postcard**

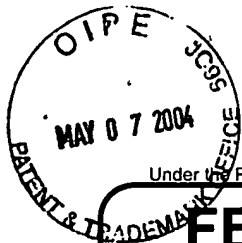
is being deposited on May 4, 2004 with the United States Postal Service
as first class mail in an envelope bearing sufficient postage thereon and addressed to:

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Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450.

James F. Dobrow

(Typed Or Printed Name Of Person Mailing Correspondence)

(Signature Of Person Mailing Correspondence)



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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**180.00**

Complete if Known

Application Number	09/904,300
Filing Date	July 12, 2001
First Named Inventor	Thomas Joshua Shafron et al.
Examiner Name	LaShonda T. Jacobs
Art Unit	2157
Attorney Docket No.	694231/0006

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: **19-4709**
Deposit Account Name: **Stroock & Stroock & Lavan LLP**

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent		-20** =		18		0	
Multiple Dependent		-3** =		86		0	

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**0.00**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**180.00**

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	James J. DeCarlo	Registration No. (Attorney/Agent)	36,120	Telephone	(212) 806-5400
Signature	<i>James J. DeCarlo</i>	Date	May 4, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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